Attorney Docket 1048 003 304 0202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Peter Davis

Application No.: 10/722,619 Group No.: 1734

Confirmation No: 7100

Filed: 11/26/2003

Examiner: Sue A. Purvis

Surface Mount Assembly System with Integral Label

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either
 - (1) a final action under section 1.113 or
 - (2) a notice of allowance under section 1.311

whichever occurs first.

Fee due \$ 180.00

Authorization to charge fees due related to the filing of the papers herein to is provided on the attached fee transmittal form.

Certificate of Facsimile Transmission

hereby certify that the correspondence listed below is being facsimile transmitted to the United States Patent and Trademark Office on

July 7, 2004 to telephone number 703-872-9306.

Amendment & Response Under 37 CFR 1.111 (6 pages) Transmittal Of Information Disclosure Statement After The Mailing Date Of First Office Action (1 page)

Information Disclosure Statement (1 page) Cited Prior Art (1 patent - 9 pages)

Fee Transmittal (1 page) 2 sets

Total Pages: 19

Date July 7, 2004

Buarte C. Basch Reg. 34,545

Duane C. Basch, Esq. Attorney for the Applicant Registration No. 34,545

Basch & Nickerson LLP 1777 Penfield Road Penfield, NY 14526 Telephone 585-899-3970

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are regulted to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449/PTO INFORMATION DISCLOSURE | | Co | Complete If Known | | | |
|--|----|------------------------|-------------------|--|--|--|
| | | Application Number | 10/722,619 | | | |
| | | Filing Date | 11-26-2003 | | | |
| | | First Named Inventor | PETER DAVIS | | | |
| STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Art Unit | 1734 | | | |
| | | Examiner Name | Sue A. Purvis | | | |
| neet | of | Attorney Docket Number | 1048 003 304 0202 | | | |

| Everier: | Char | D | | TOCUMENTS | |
|-----------------------|------------|--|--------------------------------|--|---|
| Examiner Initials* | One No. | Document Number Number-Kind Code ² (Financy) | Publication Date MM-DD-YYYY | Name of Palentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1 | us- 3428509 | 02-18-1969 | MESSMER | |
| | 2 | ^{US-} 4025067 | 05-24-1977 | SCHLACHT | |
| | 3 | us- 4627006 | 05-12-1981 | KARN et al. | |
| | 4 | US- 4402424 | 09-06-1983 | MATTIX | |
| | 5 | ^{US-} 4411349 | 10-25-1983 | STEDMAN et al. | |
| | 6 | ^{US-} 4717059 | 01-05-1988 | TAKAHASHI | |
| | 7 | ^{US-} 4769103 | 09-06-1988 | KOIKE et al. | • |
| | 8 | ^{US-} 5342461 | 08-30-1994 | MURPHY | |
| | 9 | ^{US-} 5370754 | 12-06-1994 | SOLOMAN | |
| | 10 | ^{US-} 5630270 | 05-20-1997 | O'MALLEY | · · · · · · · · · · · · · · · · · · · |
| | 11 | ^{US-} 5642666 | 07-01-1997 | PETTERUTI et al. | |
| | 12 | ^{US-} 5783029 | 07-21-1998 | STETTNER et al. | |
| | 13 | ^{US-} 6080250 | 06-27-2000 | URBAN et al. | |
| | 14 | ^{US-} 6109472 | 08-29-2000 | GIBIER | |
| | 15 | us- 20030121607 | 07-03-2003 | DAVIS ET AL. | |
| - 11 | | US- | | | |
| | | US- | | | |
| | | UŚ- | | | |
| | | US- | | | |

| | | FORE | IGN PATENT DOCL | MENTS | | |
|-----------------------|--------------|---|---------------------|--|---|--|
| Examiner Initials* | Cite No.1 | Foreign Palent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | |
| | | Country Code ³ "Number ⁴ "Kind Code ³ (if known) | MM-DD-YYYY | The state of the s | Or Relevant Figures Appear | Т" |
| | 15 | EP0701944A2 | 03-20-1996 | MATSUGUCHI | 171 | |
| | | · | | | | Г |
| | | | | | | |
| · | - | | | | - | |
| | | | | | | L |
| | L | <u></u> | | | | |

| | | - |
|-----------|------------|-------|
| Examiner | Date | - |
| S.gnature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.usofo.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the sortal number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chlef information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

10: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-785-9199) and select option 2.